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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/612,543	07/07/2000	Makoto Funabashi	1982-0153P	9387

7590 03/21/2006

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EXAMINER

CLEVELAND, MICHAEL B

ART UNIT	PAPER NUMBER
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1762

DATE MAILED: 03/21/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/612,543

Applicant(s)

FUNABASHI, MAKOTO

Examiner

Michael Cleveland

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 11 January 2006.
2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,3,4,9-12 and 14-24 is/are pending in the application.
4a) Of the above claim(s) _____ is/are withdrawn from consideration.
5) ☒ Claim(s) 14-19 is/are allowed.
6) ☒ Claim(s) 1,3,4,9-12 and 20-24 is/are rejected.
7) ☐ Claim(s) _____ is/are objected to.
8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____.
4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
5) ☐ Notice of Informal Patent Application (PTO-152)
6) ☐ Other: _____.

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DETAILED ACTION

Claim Rejections - 35 USC § 112

1. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

2. Claims 1, 3-4, 9-12, and 21-24 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. There is no support for Applicant's claims to calcining in any generic nitrogen-containing atmosphere, nor to replacing the atmosphere after cooling with any generic atmosphere containing nitrogen and oxygen (such as air), particularly not any weakly oxidizing atmosphere (as recited in claim 22), nor the specific range of "at most 1.3%" (as recited in claim 23). 7/10 3/1/00

3. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

4. Claims 21-22 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The term "very small" in claim 21 and "Weakly oxidizing" in claim 22 are relative terms which render the claims indefinite. The terms are not defined by the claim, the specification does not provide a standard for ascertaining the requisite degree, and one of ordinary skill in the art would not be reasonably apprised of the scope of the invention.

Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

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(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claim 20 is rejected under 35 U.S.C. 103(a) as being unpatentable over Weiss et al. (U.S. Patent 4,028,550, hereafter '550) in view of Leblans et al. (U.S. Patent 5,360,578, hereafter '578).

'550 teaches dispersing a binder and a barium fluorohalide phosphor in a dispersion medium (col. 3, line 65-col. 4, line 15), wet classifying the phosphor (col. 4, lines 10-12), and applying the material to a support and drying to form a phosphor layer (col. 4, lines 31-41). Applicant has defined a "soluble" binder as "having solubility sufficient for preparing the phosphor layer coating liquid which can be applied for forming a phosphor layer" (paragraph bridging pages 12 and 13 of the specification). Thus, because the binder of '550 is applied to form a phosphor layer, it meets Applicant's definition of soluble.

'550 does not teach that the specific order of adding phosphor to the dispersion medium, wet classifying, and then adding the binder. However, it has long been settled that the selection of any order of performing process steps is *prima facie* obvious in the absence of new or unexpected results. See, for instance, *In re Burhans*, 154 F.2d 690, 69 USPQ 330 (CCPA 1946) and MPEP 2144.04.II.C. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have performed the steps in the order of adding the phosphor, wet classifying, and adding the binder instead of adding the binder, adding the phosphor and wet classifying with the expectation of similar results and with a reasonable expectation of success because the final coating slurry would have been substantially identical.

'550 also does not teach that the phosphor is calcined. In fact, '550 is silent as to the method of preparing the barium fluorohalide phosphor. '578 teaches that barium fluorohalide phosphors may be preparing by mixing the appropriate components and then calcining (col. 6, line 50-col. 7, line 6). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have performed the process of '550 using a calcined phosphor with a reasonable expectation of success because '578 teaches that calcining is a conventional method of making such phosphors.

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The particles are sieved by passing through meshes (col. 4, line 50-col. 5, line 7). Particles over 40 microns in size are undesired (col. 3, lines 30-42). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have used a maximum final mesh size of 40 microns, which is less than 50 microns, in order to achieve the desired particle size range.

7. Claims 1, 3, 9-10, 12, and 21-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Weiss et al. (U.S. Patent 4,028,550, hereafter '550) in view of Leblans et al. (U.S. Patent 5,360,578, hereafter '578), Shimada et al. (U.S. Patent 5,028,509, hereafter '509), and Nakano et al. (U.S. Patent 5,952,666, hereafter '666).

'550 teaches dispersing a binder and a barium fluorohalide phosphor in a dispersion medium (col. 3, line 65-col. 4, line 15), wet classifying the phosphor (col. 4, lines 10-12), and applying the material to a support and drying to form a phosphor layer (col. 4, lines 31-41). Applicant has defined a "soluble" binder as "having solubility sufficient for preparing the phosphor layer coating liquid which can be applied for forming a phosphor layer" (paragraph bridging pages 12 and 13 of the specification). Thus, because the binder of '550 is applied to form a phosphor layer, it meets Applicant's definition of soluble.

'550 does not teach that the specific order of adding phosphor to the dispersion medium, wet classifying, and then adding the binder. However, it has long been settled that the selection of any order of performing process steps is *prima facie* obvious in the absence of new or unexpected results. See, for instance, *In re Burhans*, 154 F.2d 690, 69 USPQ 330 (CCPA 1946) and MPEP 2144.04.II.C. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have performed the steps in the order of adding the phosphor, wet classifying, and adding the binder instead of adding the binder, adding the phosphor and wet classifying with the expectation of similar results and with a reasonable expectation of success because the final coating slurry would have been substantially identical.

'550 also does not teach that the phosphor is calcined. In fact, '550 is silent as to the method of preparing the barium fluorohalide phosphor.

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'509 teaches that barium fluorohalide phosphors may be prepared by mixing the appropriate components and then calcining at 500-1000 °C for 0.5-6 hours in a nitrogen-containing atmosphere (col. 11, lines 9-23), and cooling it to room temperature in the same atmosphere before exposing it to air (an atmosphere comprising nitrogen and oxygen) (col. 11, lines 29-37). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have used the process of '509 to have obtained the phosphor of '550 because '509 teaches that such is a suitable method of obtaining barium fluorohalide phosphors. '509 does not explicitly teach that the phosphor is cooled to room temperature over a period of at least 30 minutes. However, the cooling rate would have been recognized a result-effective variable because faster cooling would allow faster production and therefore greater productivity, but fast cooling is also recognized as more likely to cause stress in materials, particularly compound materials that may damage the materials. It has been held that the discovery of the optimum value of a result effective variable in a known process is ordinarily within the skill in the art. *In re Boesch and Slaney*, 205 USPQ 215 (CCPA 1980). Accordingly, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have determined an optimum cooling rate to have optimized the trade-off between productivity and potential damage to the phosphors.

'578 teaches that barium fluorohalide phosphors may be prepared by dispersing them in methyl ethyl ketone (col. 4, lines 38-44), passing them through meshes (col. 4, line 50-col. 5, line 7). Particles over 40 microns in size are undesired (col. 3, lines 30-42). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have used a maximum final mesh size of 40 microns, which is less than 50 microns, in order to achieve the desired particle size range. '578 teaches a ratio of approximately 20 parts phosphor per 100 parts dispersing medium for sieving (e.g., Example 1, Example 10).

'550, '509, and '578 do not teach that the phosphor slurry is mixed with a propeller stirrer. However, '666 teaches that high-speed impellers (i.e., propellers) are suitable for mixing phosphor slurries. Accordingly, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have mixed the phosphor with an propeller stirrer with a reasonable expectation of success because impellers are recognized as suitable mixers for mixing phosphor slurries.

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'509 teaches that polyurethane is a suitable binder material (col. 11, line 60-col. 12, line 2). '550 teaches a binder to phosphor ratio of about 1:14 (Example 1).

'550 teaches that the phosphor may be BaFBr:Eu or BaFI:Eu (col. 2, lines 26-30)

Claim 9: The wet classification may be by filtration ('550, col. 4, lines 10-11).

Claims 3 and 12: '578 teaches that is desirable to classify the particles in order to remove both large (greater than 40 microns) and small (smaller than 2 microns) particles for the reasons given at col. 3, lines 31-56. The particles may be wet sieved to remove the large particles (col. 4, line 56-col. 5, line 13). The undesired small particles may be removed "before drying", which appears to refer to the wet classification step, by sedimenting the desired particles gravitationally or centrifugally and removing the liquid (i.e., decanting) containing the fine particles continuously (col. 5, lines 34-43).

Claim 9: '578 teaches that the sieving may occur by vibrating the meshes (i.e., screens) (col. 4, lines 50-68).

Claim 10: '578 teaches that the particles may be sieved through a plurality of stages having decreasing mesh size (col. 4, line 56-col. 5, line 13).

Claims 21-23: '666 teaches that the calcining atmosphere may incorporate a small amount of oxygen (col. 10, lines 14-22). '509 teaches that the calcining atmosphere may be a flowing ags (col. 24) and that the calcining and cooling atmospheres may be the same (col. 11). Therefore, taking the references as a whole it would have been obvious to one of ordinary skill in the art at the time the invention was made to have performed the calcining and cooling in flowing nitrogen with a small amount of oxygen with a reasonable expectation of success because '666 teaches that such atmospheres are suitable for calcining phosphors.

Claim 24: '578 teaches forming a light-reflecting layer on the support prior to applying the coating material (col. 7, line 65-col. 8, line 7) and a protective film afterward (col. 8, lines 22-30).

8. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Weiss '550 in view of Leblans '578, Shimada '509, and Nakano '666, as applied to claim 1, and further in view of Jamil et al. (U.S. Patent 5,772,916, hereafter '916).

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Weiss '550 and Leblans '578 teach the limitations of claim 1, as discussed above. They do not teach that the wet classification a plurality of times. However, '578 does teach a desired size distribution to achieve (col. 4, lines 13-25).

Jamil '916 teaches sieving a phosphors a plurality of times in order to classify the phosphors to a desired size (col. 11, lines 47-62). The implication is that the repeating process aids in the goal of '916 of achieving a narrow phosphor particle size distribution (col. 6, lines 10-24). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have repeated the sieving process of '578 in order to have achieved better control (i.e., a narrower distribution) of the particle size, as taught by '916.

9. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Weiss '550 in view of Leblans '578, Shimada '509, and Nakano '666, as applied to claim 1, and further in view of Hultsch et al. (U.S. Patent 4,405,454, hereafter '454).

Weiss '550 and Leblans '578 teach the limitations of claim 1, as discussed above. They do not teach that the classification occurs by pressure filtration. However, '578 indicates that a wide variety of methods are suitable for classifying the particles (col. 4, lines 45-49). '454 teaches that pressure filtration is another method suitable for classifying particles from dispersions (Abstract, col. 2, lines 56-68). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have used pressure filtration as the wet classification method of '550 and '578 with the expectation of the similar results.

Allowable Subject Matter

10. Claims 14-19 are allowed for the reasons already of record.

Response to Arguments

11. Applicant's arguments filed 1/11/2006 have been fully considered but they are not persuasive.

Applicant states that the Examiner agreed that the 4/12/2005 Declaration has shown unexpected results. The statement is incorrect. The Examiner's position that the declaration has

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not shown unexpected results commensurate in scope with the claims does not constitute an acknowledgment of unexpected results.

Applicant's declaration under 37 CFR 1.132 filed 4/12/2005 is insufficient to overcome the rejection of claims 1-4, 6-13, and 20 based upon Weiss and Leblans as set forth in the last Office action because: it is not commensurate in scope with the claims, which are not limited to the particular phosphor, the particular calcining process, the particular classification process, the particular slurry materials, binder, and concentrations; and which do not recite the provision of a reflective undercoating layer nor a protective film.

Applicant acknowledges that for a showing to be commensurate in scope..., the skilled artisan must reasonably conclude that the entire scope of the claims would provide unexpected results. Applicant then argues that the showing is commensurate in scope with the current claims as amended. It is noted that independent claim 20 has not been amended. The claims as amended remain broader than the very specific experiment conducted in the declaration. Applicant's implication that the entire scope of the claims would provide unexpected results is unsupported by evidence or compelling scientific argument.

Conclusion

12. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Rabatin (U.S. Patent 4,360,571, Example 1), Alles (U.S. Patent 2,819,183, col. 2, lines 1-22), and Rabatin (U.S. Patent 4,208,470, Example 1) also show examples of wet classification of slurries of a phosphor and a binder before applying them to form a panel.

13. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,


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however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

14. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael Cleveland whose telephone number is (571) 272-1418. The examiner can normally be reached on Monday-Thursday, 7-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Timothy Meeks can be reached on (571) 272-1423. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).


Michael Cleveland
Primary Examiner
Art Unit 1762

3/17/2006